

# Drug Enforcement Administration

**Drug Theft Prevention**

**August 23 & 24, 2014**

**Salt Lake City, UT**



# Reporting Thefts or Losses

- **Must report thefts and significant losses to the DEA within one business day upon discovery (1301.76)**
- **Should report all thefts to the local law enforcement agency and board of pharmacy**
- **Must complete the DEA Form 106**

# Reporting Thefts or Losses

- To report a theft or loss of controlled substances on-line, visit the DEA's Office of Diversion Control website at [www.DEADiversion.usdoj.gov](http://www.DEADiversion.usdoj.gov)
- The electronic version of the DEA Form 106, Report of Theft or Loss of Controlled Substances
- Complete the form and submit it electronically



# U.S. DEPARTMENT OF JUSTICE ★ DRUG ENFORCEMENT ADMINISTRATION OFFICE OF DIVERSION CONTROL

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- HOME
- REGISTRATION
- REPORTING**
- RESOURCES
- ABOUT US

Welcome to the  
**DEA Office of Diversion Control**

**NEWLY DESIGNED WEBSITE**

*New Look, New Feel, Same Core Functionality  
Featuring Improved Navigation and A-Z Subject Index*

- ARCOS
- BCM Online
- Chemical Import/Export Declarations
- CSOS (Controlled Substances Ordering System)
- Drug Theft/Loss
- Import/Export
- Inventory of Drugs Surrendered
- Quotas
- Reports Required by 21 CFR
- Suspicious Internet Pharmacies
- Year-End Reports

**Registration Support**

Call: 1-800-882-9539 (8:30 am-6:00 pm EST)  
Email: DEA.Registration.Help@usdoj.gov

- Applications
- Registration Changes (Address, Drug Code, Name, Schedule)
- Combat Meth Epidemic Act
- Service Plan for Registrants
- Certificate Request
- Receipt of Registration
- With Field Registration Program Specialists
- Forms (DEA 222)
- Registration Validation

## What's New

- Irix Manufacturing, Inc.** (January 29, 2014)
- Schedules of Controlled Substances: Temporary Placement of 10 Synthetic Cathinones into Schedule I** (January 28, 2014)
- Roderick Lee Mitchell, M.D.; Decision and Order** (January 22, 2014)
- Noramco, Inc.** (January 22, 2014)
- Noramco, Inc.** (January 22, 2014)
- Noramco, Inc.** (January 22, 2014)
- 60-Day Notice - Comments Requested: Drug Questionnaire; DEA Form 341** (January 21, 2014)
- James Clopton, M.D.; Decision and Order** (January 14, 2014)
- Schedules of Controlled Substances: Temporary Placement of Four Synthetic Cannabinoids Into Schedule I** (January 10, 2014)

## In The News

- Three More Synthetic Drugs Become Illegal for at Least Two Years** (November 15, 2013)
- Plummer Woman Sentenced for Conspiring to Distribute Meth** (November 15, 2013)

**DEA Forms & Applications**

**Publications & Manuals**

**Questions & Answers**

**Meetings & Events**

## Quick Links

- ARCOS (Automation of Reports & Consolidated Orders System)
- Cases Against Doctors
- Chemical Control Program
- CSOS (Controlled Substances Ordering System)
- EPCS (Electronic Prescriptions for Controlled Substances)
- DEA Form 106: Report Theft or Loss of Controlled Substances
- Find Your Local DEA Office

# Electronic DEA-106

## APPLICATION STRUCTURE

### Section 1: Authentication and Report

### Section 2: Theft/Loss Details

### Section 3: Lost/Stolen Product Entry Page

### Section 4: Signature

### Section 5: Theft/Loss Summary

### Section 6: Controlled Substance Summary and Certification

### Section 7: Submit Report

### Section 8: Print



#### Report of Theft or Loss of Controlled Substances Under Controlled Substance Act of 1970

INSTRUCTIONS for Form DEA-106, Report of Theft or Loss of Controlled Substances - Revised October 2008

#### General Instructions

##### WHATS NEW

**Changes to the Controlled Substances Section.** The DEA is now requiring registrants to enter the National Drug Code (NDC) of the controlled substances lost or stolen.

**Discontinued Fields.** With the implementation of the NDC number, DEA will no longer require registrants to enter specific information pertaining to product lost or stolen. Inputting the NDC number will auto-populate all require information needed for data collection and report generation.

##### Purpose of Form

The DEA-106 is for reporting any theft or loss of controlled substances.

Do not use this form if:

1. You have not previously registered with the DEA.
2. The theft or loss you are reporting is not of a controlled substance, or
3. You want to correct minor inventory shortages.

##### Additional Information

The online version of Form DEA 106 has 8 sections. What follows is a description of each section and the information you will need to successfully fill out this online form. Please note that all pages where you are required to supply information, there is a section labeled "Help." For any field on the page for which you require clarification, place the cursor in the field and click. Help text will appear in the Help section.

#### SPECIFIC INSTRUCTIONS

##### Section 1. Authentication and Report Selection

You will be asked to enter your DEA Number and your last name or the business name you used to register with the DEA. The name you supply must match exactly the name on your registration. You also will be able to choose whether to file a new report, or amend a report previously entered.

##### Section 2. Theft/Loss Details

You will be asked to provide background information relating to this loss or theft incident, such as the date and place, the type (night break-in, armed robbery, etc.), and the estimated value of the controlled substances, etc. Responses may require additional information, for example, indicating that a police has been filed will open new fields requesting the police department's name and telephone number.

##### Section 3. Controlled Substances

You will be asked to provide the National Drug Code (NDC) and quantity of the controlled substance being reported as a theft or loss. If the substance is liquid or powder, you will need to enter the total milliliters/milligrams, if tablet, enter the total count of tablets.

##### Section 4. Signature

You will be asked to supply the name and title of the person filing this report.

##### Section 5. Theft/Loss Summary

Information regarding the details of the theft or loss will be presented to you for confirmation; changes to the information may be made. You may also change information in the Signature section.

##### Section 6. Controlled Substances Summary and Certification

Information on the substances will be displayed, and may be changed. Additionally, you will be required to enter the name of the certifying official who attests to the validity of the information included in the report.

##### Section 7. Submit Report

Submitting the report will generate an amendment key. Please save the amendment key in order to be able to modify information using the online DEA-106 report in the future.

##### Section 8. Print

You may send a copy of the DEA-106 report to your local printer. DEA regulations specify that you keep a copy of this report for two years.

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

Begin

# DRUG THEFT LOSS SYSTEM - Electronic DEA-106

## Section 1: Authentication & Report

U.S. Department of Justice  
Drug Enforcement Administration

**DIVERSION CONTROL PROGRAM**

**DEA FORM 106**

**HELP**

Please enter the registrant's DEA number. The first two characters must be uppercase. [Required]

[General Instructions.](#)

**User Login**

**Form 106 Login**

DEA Number:

Last Name:

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

**<-Previous** **-Cancel-** **Login**

**Drug Theft/Loss Login Screen**

# DRUG THEFT LOSS SYSTEM

## Electronic DEA-106

The Login Confirmation Screen will be displayed, showing the registrant's name, address, and business activity.

This is a sample of the login confirmation page, with registrant name and address information removed.

U.S. Department of Justice  
Drug Enforcement Administration  
**DIVERSION CONTROL PROGRAM**  
**DEA FORM 106**

**Form 106 Login Confirmation**

WASHINGTON, DC 20003  
PRACTITIONER

If you are not [redacted], or the address is not the one assigned to this DEA number, please DO NOT PROCEED. Instead, contact the DEA Call Center at 800-882-9539, or click here to change the address.

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

**-Cancel-** **Next ->**

U.S. Department of Justice  
Drug Enforcement Administration  
**DIVERSION CONTROL PROGRAM**  
**DEA FORM 106**

**HELP**

You may create a new report or amend an existing report.

[General Instructions.](#)

**NEW:** It is now possible to enter multiple reports with the same Date of Loss. In order to do this, select the Add / Amend Report button below. For privacy and security purposes, you will need the Amendment Key from a report entered on the same date. When adding a report for a date with an existing report, please ensure the new report is really new, and not an amendment to an existing report.

**New Report Date** **-Cancel-** **Add / Amend Report**

**New Report** will open a blank report for completion

**Cancel** will terminate the application without transmitting any data

**Add/Amend Report** will allow registrants to change data on previously submitted reports after verifying user credentials

# DRUG THEFT LOSS SYSTEM

## Electronic DEA-106

### Section 2: Theft Loss Details

In this section you will provide background information relating to the theft or loss incident including:

- Date, place, and time
- Type of incident
- Estimated value

Responses to the type of incident may require additional information.

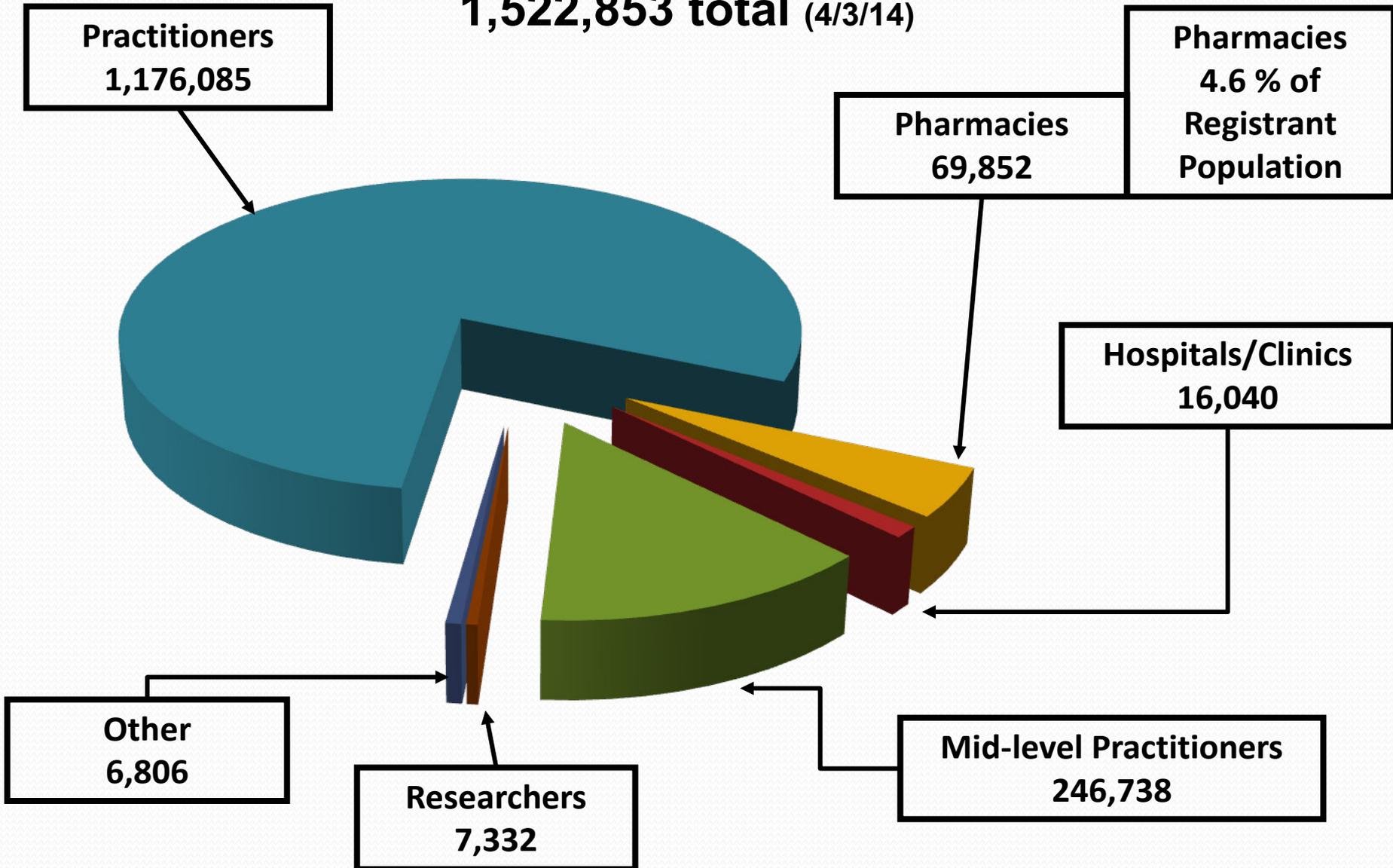
Previous - Return to Login Confirmation page;

Cancel - Terminate the application without transmitting any data;

Next will proceed with the application

# Active Registrants

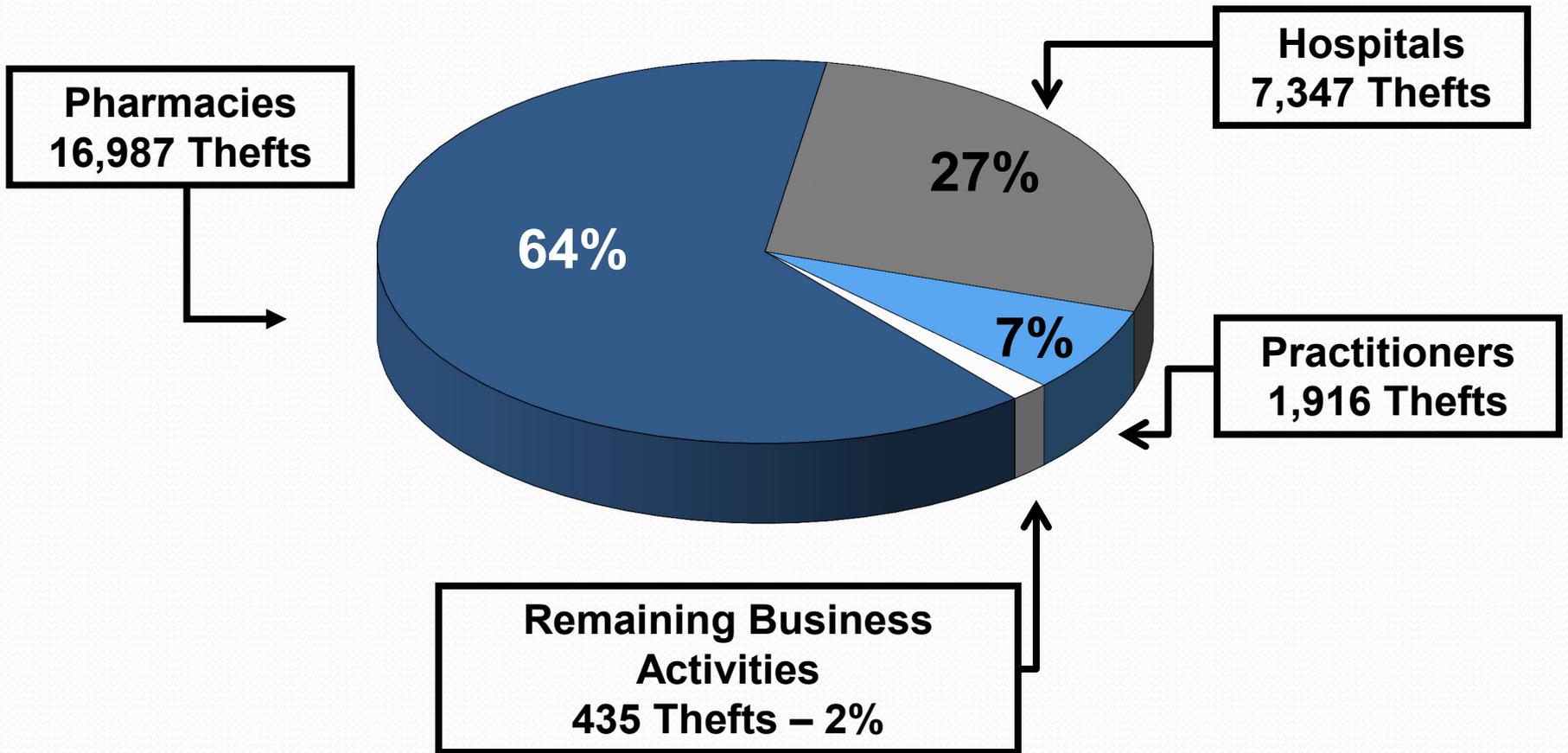
1,522,853 total (4/3/14)



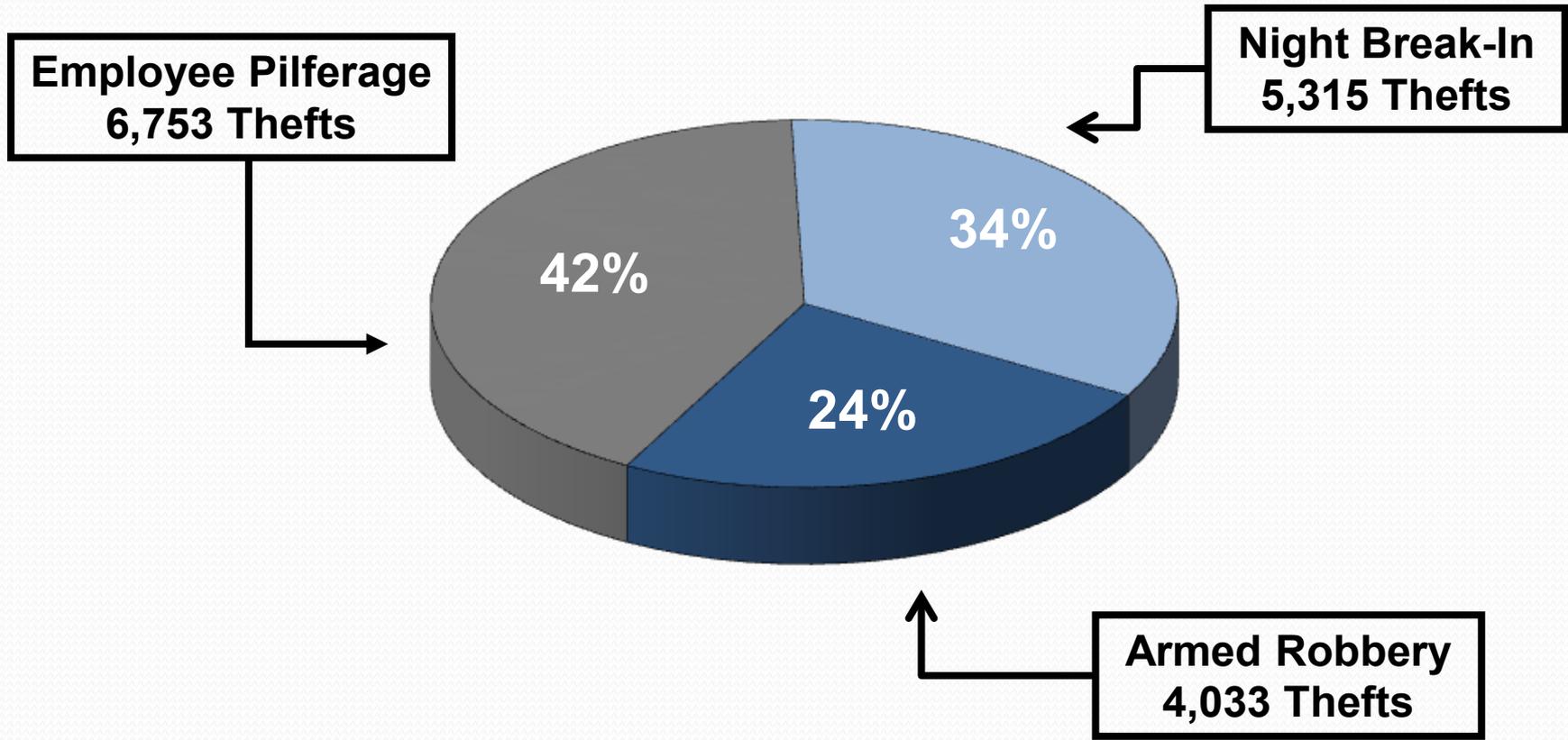
# Nationwide Reported Thefts

(Armed Robbery, Employee Pilferage and Night Break-In Only)

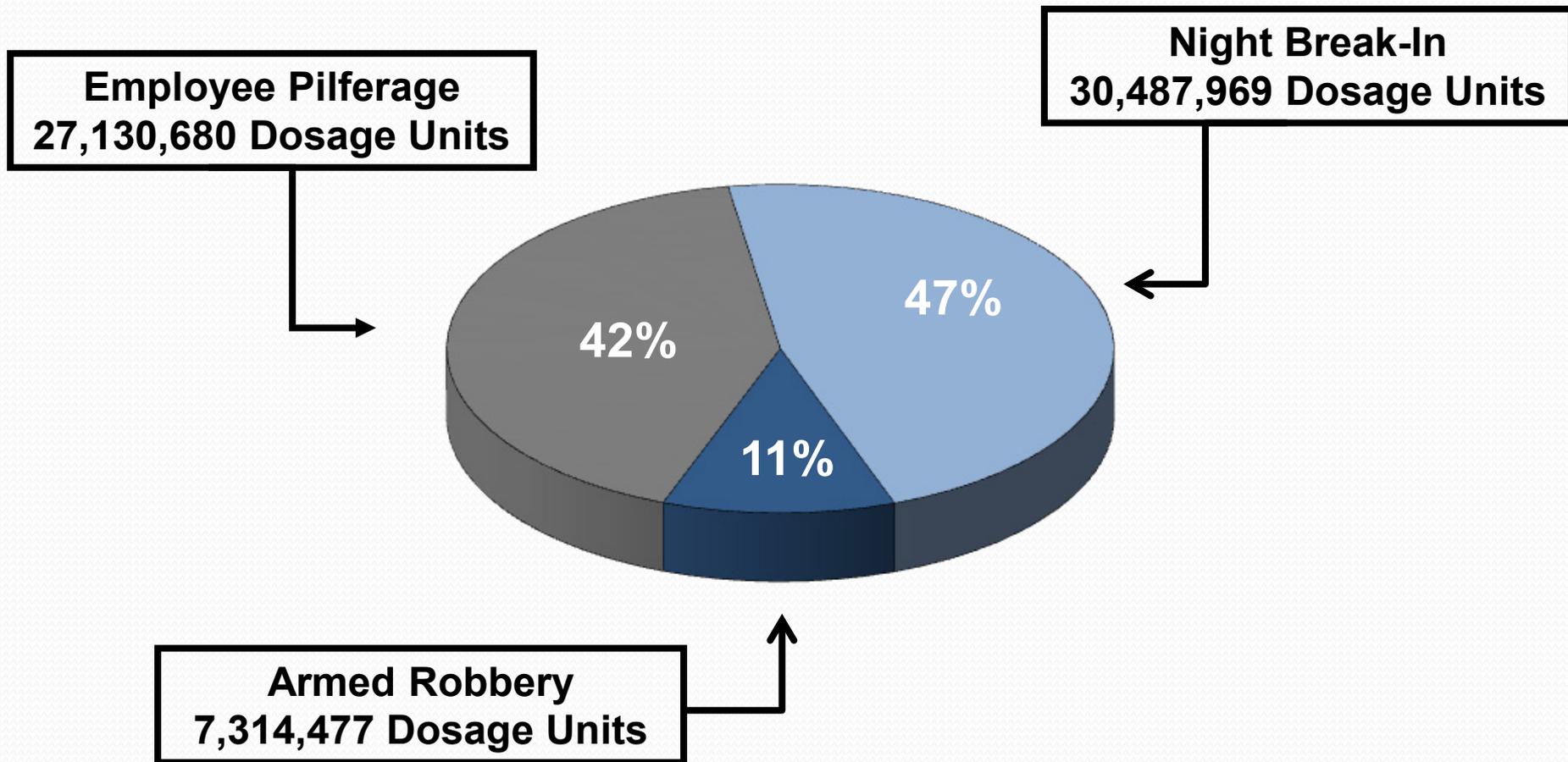
January 1, 2009 – July 31, 2014



# Number of Pharmacy Thefts Nationwide January 1, 2009 – July 31, 2014



# Nationwide Pharmacy Thefts in Dosage Units January 1, 2009 – July 31, 2014



# Pharmacy Armed Robberies

## Rankings by State

January 1 thru December 31, 2011 (691)



RANK	STATE	TOTAL												
1	IN	53	12	MD	22	23	MA	12	34	UT	4	45	SD	1
2	AZ	50	13	WA	21	24	TX	11	35	DE	3	46	WY	1
3	FL	49	14	OK	19	25	IL	8	36	CT	2	47	AK	0
4	TN	43	15	ME	17	26	MS	8	37	MT	2	48	AS	0
5	CA	39	16	NV	16	27	MN	7	38	WV	2	49	DC	0
6	CO	38	17	OH	16	28	MO	7	39	IA	1	50	GU	0
7	PA	36	18	OR	15	29	NH	7	40	ID	1	51	HI	0
8	NY	29	19	SC	15	30	WI	6	41	KS	1	52	ND	0
9	KY	27	20	NJ	13	31	AR	5	42	NE	1	53	PR	0
10	MI	24	21	VA	13	32	GA	5	43	NM	1	54	VI	0
11	NC	23	22	AL	12	33	LA	4	44	RI	1	55	VT	0



# Pharmacy Armed Robberies

## January 1 thru December 31, 2011

- U.S. (Nationwide) – 691
- State of Utah – 4

<i>Utah Counties</i>	<b>Number of Pharmacy Thefts</b>
WASHINGTON	3
SALT LAKE	1
<b>No Reported Armed Robberies in remaining counties</b>	

# Pharmacy Armed Robberies

## Rankings by State

January 1 thru December 31, 2012 (783)

RANK	STATE	TOTAL												
1	IN	104	12	NY	22	23	WA	13	34	NV	5	45	DE	1
2	AZ	66	13	WI	20	24	AL	11	35	RI	5	46	WY	1
3	OH	49	14	CO	19	25	MN	10	36	NE	4	47	AK	0
4	TN	44	15	OK	19	26	AR	7	37	IA	3	48	AS	0
5	PA	43	16	SC	18	27	NH	7	38	MS	3	49	DC	0
6	CA	37	17	FL	17	28	GA	6	39	KS	2	50	GU	0
7	ME	36	18	VA	17	29	MO	6	40	MT	2	51	HI	0
8	TX	28	19	KY	16	30	NM	6	41	PR	2	52	ID	0
9	MD	26	20	MI	14	31	OR	6	42	UT	2	53	ND	0
10	MA	23	21	NJ	14	32	CT	5	43	VT	2	54	SD	0
11	NC	22	22	IL	13	33	LA	5	44	WV	2	55	VI	0



# Pharmacy Armed Robberies

January 1 thru December 31, 2012

➤ U.S. (Nationwide) – 783

➤ State of Utah – 2

<i>Utah Counties</i>	<b>Number of Pharmacy Thefts</b>
SALT LAKE	2
<b>No Reported Armed Robberies in remaining counties</b>	

# Pharmacy Armed Robberies

## Rankings by State

January 1 thru December 31, 2013 (712)

RANK	STATE	TOTAL												
1	AZ	77	12	WI	21	23	AL	8	34	NE	4	45	HI	1
2	IN	71	13	MD	20	24	IA	8	35	WV	4	46	MS	1
3	CA	60	14	NJ	18	25	NM	8	36	ID	3	47	MT	1
4	PA	41	15	NY	18	26	OR	8	37	NH	3	48	UT	1
5	TN	37	16	CT	17	27	AR	5	38	RI	3	49	AK	0
6	NC	33	17	VA	15	28	GA	5	39	WY	3	50	AS	0
7	MA	30	18	OK	13	29	KS	5	40	DE	2	51	GU	0
8	OH	28	19	FL	12	30	ME	5	41	IL	2	52	ND	0
9	TX	24	20	KY	12	31	MI	5	42	LA	2	53	PR	0
10	WA	23	21	CO	11	32	MN	5	43	NV	2	54	SD	0
11	SC	22	22	MO	9	33	VT	5	44	DC	1	55	VI	0





# Pharmacy Armed Robberies

January 1 thru December 31, 2013

➤ U.S. (Nationwide) – 713

➤ State of Utah – 1

<i>Utah Counties</i>	Number of Pharmacy Thefts
SALT LAKE	1
No Reported Armed Robberies in remaining counties	

# Pharmacy Armed Robberies

## Rankings by State

January 1 thru July 31, 2014 (501)

RANK	STATE	TOTAL												
1	IN	49	12	MA	13	23	MN	8	34	AL	3	45	WY	1
2	OK	40	13	MD	13	24	WI	8	35	AR	3	46	AK	0
3	CA	34	14	NM	13	25	CO	7	36	CT	3	47	AS	0
4	AZ	32	15	NY	11	26	ID	7	37	LA	3	48	DC	0
5	OH	27	16	TN	11	27	IL	7	38	MO	3	49	DE	0
6	PA	23	17	KY	10	28	MI	7	39	WV	2	50	GU	0
7	NC	20	18	OR	10	29	NJ	7	40	KS	1	51	HI	0
8	FL	18	19	SC	10	30	UT	6	41	MT	1	52	MS	0
9	TX	18	20	NE	9	31	ME	5	42	ND	1	53	RI	0
10	VA	15	21	NV	9	32	VT	5	43	NH	1	54	SD	0
11	WA	14	22	GA	8	33	IA	4	44	PR	1	55	VI	0





# Pharmacy Armed Robberies

January 1 thru July 31, 2014

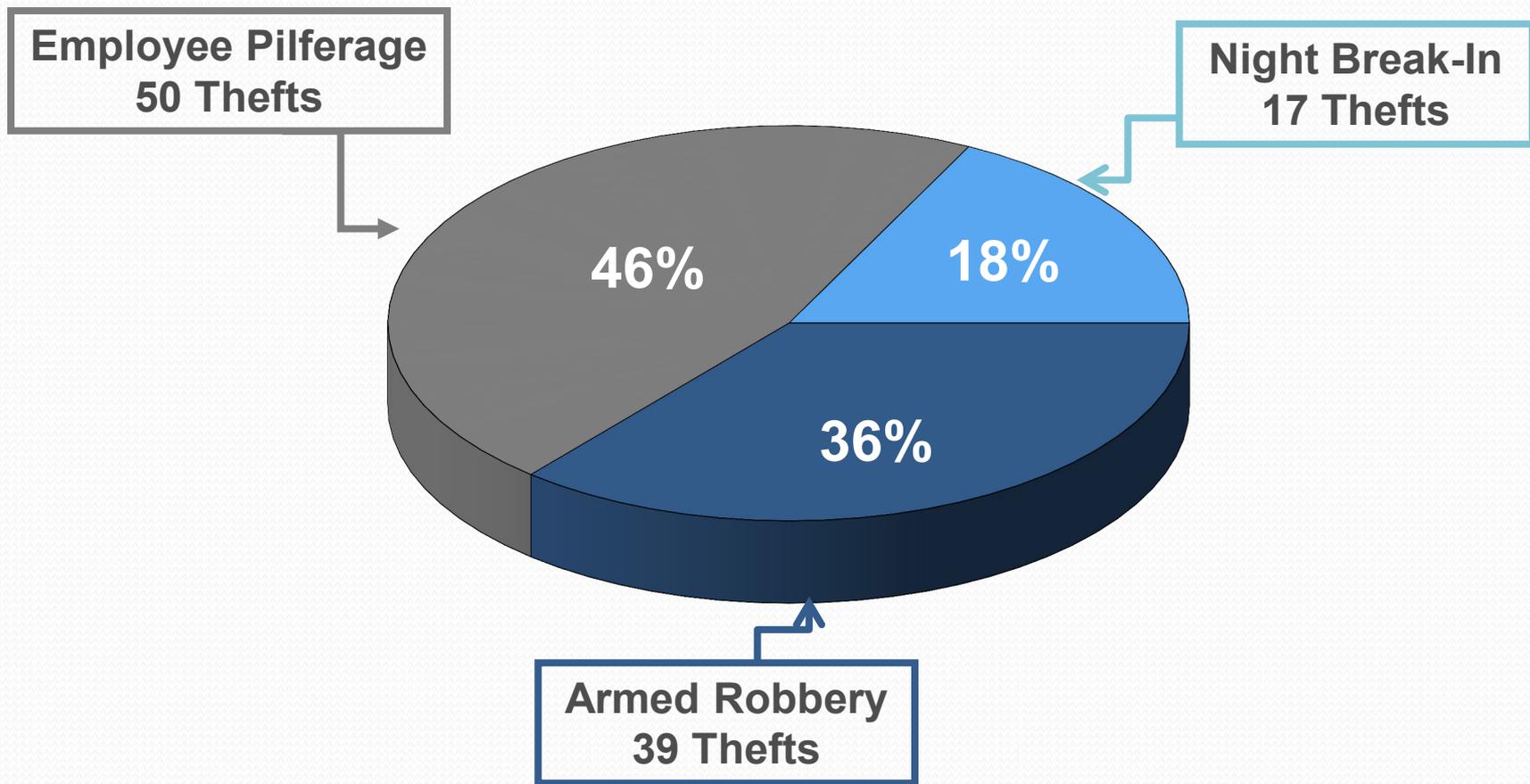
➤ U.S. (Nationwide) – 501

➤ State of Utah – 6

<i>Utah Counties</i>	<b>Number of Pharmacy Thefts</b>
SALT LAKE	4
WASHINGTON	1
WEBER	1
<b>No Reported Armed Robberies in remaining counties</b>	

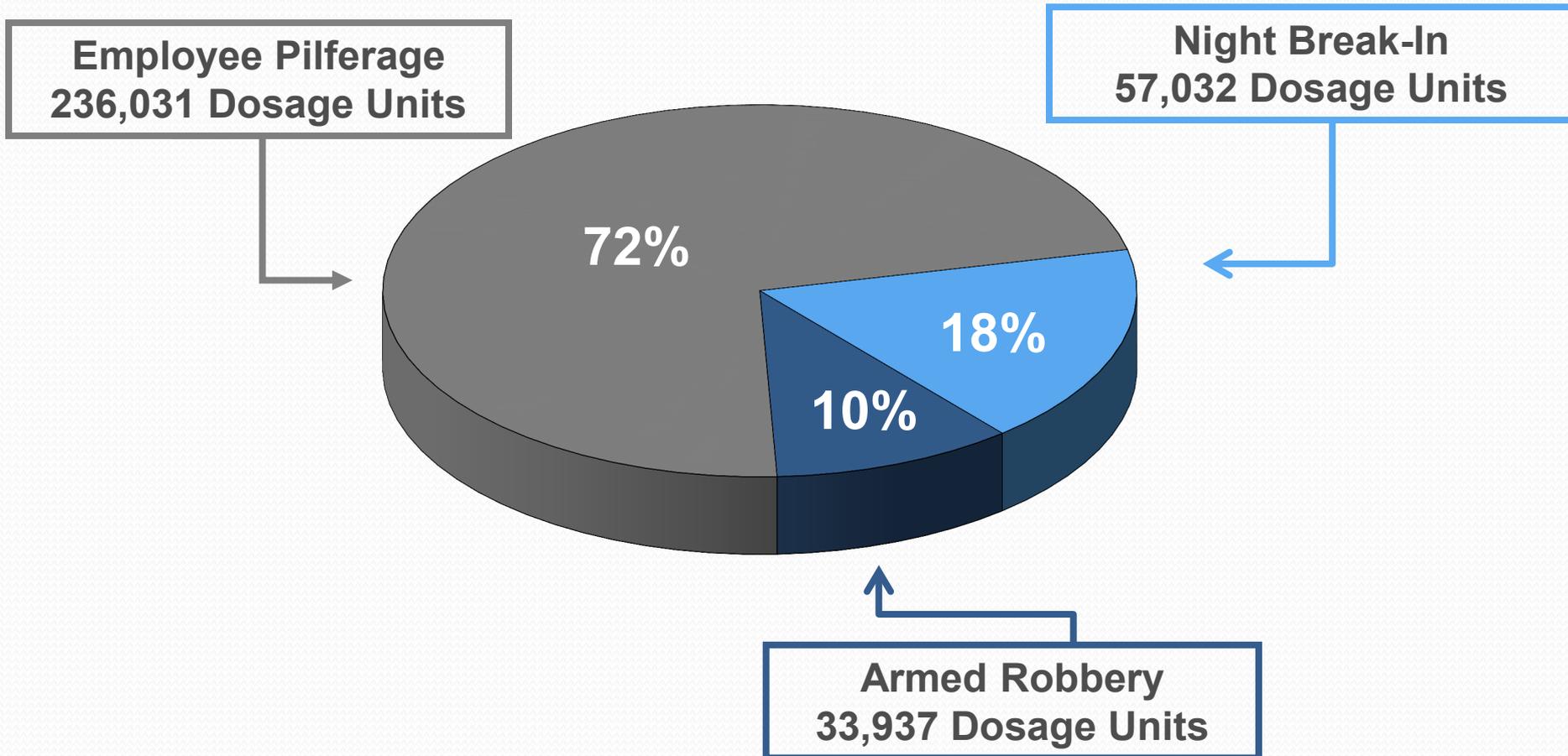
# Number of Pharmacy Thefts in Utah

## January 1, 2009 – July 31, 2014



# Utah

## Pharmacy Thefts in Dosage Units January 1, 2009 – July 31, 2014



# Sources of Diversion

- **Burglaries**
- **Employee Theft** 
- **Armed Robberies**
- **Illegal & Improper Prescribing**
- **Prescription Forgery**
- **Doctor Shopping**
- **In Transit Losses**

# Tips For Preventing Employee Theft

- **Screen applicants**
- **Properly train and supervise employees**
- **Institute a zero tolerance policy on employee theft**
- **Develop and provide written guidelines (SOPs)**
- **Set the example**
- **Conduct surprise audits**
- **Personally look into customer complaints**

# **Tips For Preventing Employee Theft**

- **Be alert to disgruntled or stressed employees**
- **Be aware of unexplained rises in employee's living standard**
- **Inspect employee's bags, lunch bags and backpacks**
- **Limit access**
- **Open lines of communication**

# Questions?

